

**Harbor Maintenance
Trust Fund
96X8863
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**Harbor Maintenance
Trust Fund
96X8863
Footnotes**

Balance Sheet

1. Details about principal holdings and investment transaction data can be viewed at <http://www.treasurydirect.gov/govt/reports/fip/acctstmt/acctstmt.htm>

Income Statement

1. Interest on Investments is reported on the accrual basis. Includes interest collected, premium paid, and accrued interest purchased.

Interest on Investments cash basis:

	<u>Current Month</u>	<u>Year to Date</u>
\$	194,580.44	\$ 160,204,108.74

2. Expenses represent current year appropriations from the trust fund and may not represent actual transfers from the trust fund.
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Harbor Maintenance Trust Fund
96X8863
Trial Balance (Unaudited)
September 1, 2011 Through September 30, 2011

Period Name:SEP/11-11 Fund:TFM8863TFXXXX Project:<All>

USSGL	Cost Center	USSGL / Cost Center Description	Beginning Balance	Period Net Dr	Period Net Cr	Ending Balance
1010	-	FUND BALANCE WITH TREASURY	154,647,715.91	12,640,981,158.41	12,690,859,894.36	104,768,979.96
1342	-	INTEREST RECEIVABLE - INVESTMENTS	19,396,648.54	11,601,426.70	3,265.55	30,994,809.69
1610	-	INVESTMENTS IN U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	6,118,729,821.10	12,620,149,375.70	12,536,129,590.55	6,202,749,606.25
1611	-	DISCOUNT ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	-31,964,128.93	191,314.89	79,322.35	-31,852,136.39
1612	-	PREMIUM ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	150,597,248.62	0.00	0.00	150,597,248.62
1613	-	AMORTIZATION OF DISCOUNT AND PREMIUM ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	-35,750,180.23	514,627.25	2,271,868.82	-37,507,421.80
2150	TFM5765180	TRANSFERS TO ST. LAWRENCE SEAWAY DEV. CORP.	-4,759,352.00	4,759,352.00	0.00	0.00
	TFM5765190	TRANSFERS TO CUSTOMS	0.00	0.00	0.00	0.00
	TFM5765250	TRANSFERS TO CORPS OF ENGINEERS	-65,951,166.66	65,951,166.66	0.00	0.00
Sum			-70,710,518.66	70,710,518.66	0.00	0.00
3310	-	CUMULATIVE RESULTS OF OPERATIONS	-5,647,442,226.28	0.00	0.00	-5,647,442,226.28
5311	TFM5311001	INTEREST ON INVESTMENTS	-120,064,040.39	2,080,553.93	12,116,053.95	-130,099,540.41
5765	TFM5765180	TRANSFERS TO ST. LAWRENCE SEAWAY DEV. CORP.	32,259,352.00	4,759,352.00	4,759,352.00	32,259,352.00
	TFM5765190	TRANSFERS TO CUSTOMS	3,226,000.00	0.00	0.00	3,226,000.00
	TFM5765250	TRANSFERS TO CORPS OF ENGINEERS	791,414,000.00	65,951,166.66	65,951,166.66	791,414,000.00
Sum			826,899,352.00	70,710,518.66	70,710,518.66	826,899,352.00
5800	TFM5800430	CUSTOM DUTIES ON IMPORTS	-960,898,477.09	0.00	98,175,226.48	-1,059,073,703.57
	TFM5800440	CUSTOM DUTIES ON EXPORTS	12,763.11	0.00	0.00	12,763.11
	TFM5800450	CUSTOM DUTIES ON DOMESTICS	-119,172,549.59	0.00	3,165,131.27	-122,337,680.86
	TFM5800460	CUSTOM DUTIES ON PASSENGERS	-13,207,734.64	0.00	0.00	-13,207,734.64
	TFM5800470	CUSTOM DUTIES ON FOREIGN TRADE	-271,073,693.47	0.00	3,428,622.21	-274,502,315.68
Sum			-1,364,339,691.68	0.00	104,768,979.96	-1,469,108,671.64
TOTAL			0.00	25,416,939,494.20	25,416,939,494.20	0.00

Harbor Maintenance Trust Fund
96X8863
Balance Sheet (Unaudited)
September 30, 2011

Period Name:SEP/11-11	Fund:TFM8863TFXXXX	Project:<All>
Account Type	Account Description	Ending Balance
ASSETS	FUND BALANCE WITH TREASURY	104,768,979.96
	INTEREST RECEIVABLE - INVESTMENTS	30,994,809.69
	INVESTMENTS IN U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	6,202,749,606.25
	DISCOUNT ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	-31,852,136.39
	PREMIUM ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	150,597,248.62
	AMORTIZATION OF DISCOUNT AND PREMIUM ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	-37,507,421.80
		Total: 6,419,751,086.33
LIABILITIES	PAYABLE FOR TRANSFERS OF CURRENTLY INVESTED BALANCES	0.00
		0.00
EQUITY	CUMULATIVE RESULTS OF OPERATIONS	-5,647,442,226.28
	NET INCOME	-772,308,860.05
		-6,419,751,086.33
		Total: -6,419,751,086.33

Harbor Maintenance Trust Fund
96X8863
Income Statement (Unaudited)
October 1, 2010 Through September 30, 2011

Period Name:SEP/11-11 Fund:TFM8863TFXXXX Project:<All>

Revenue Type	USSGL	Ussgl Description	Cost Center	Cost Center Description	Activity	Ending Balance
INCOME	5800	TAX REVENUE COLLECTED	TFM5800430	CUSTOM DUTIES ON IMPORTS	-98,175,226.48	-1,059,073,703.57
			TFM5800440	CUSTOM DUTIES ON EXPORTS	0.00	12,763.11
			TFM5800450	CUSTOM DUTIES ON DOMESTICS	-3,165,131.27	-122,337,680.86
			TFM5800460	CUSTOM DUTIES ON PASSENGERS	0.00	-13,207,734.64
			TFM5800470	CUSTOM DUTIES ON FOREIGN TRADE	-3,428,622.21	-274,502,315.68
	Sum					-104,768,979.96
	5311	INTEREST REVENUE - INVESTMENTS - NON-EXCHANGE	TFM5311001	INTEREST ON INVESTMENTS	-10,035,500.02	-130,099,540.41
Sum					-114,804,479.98	-1,599,208,212.05
EXPENSES	5765	NONEXPENDITURE FINANCING SOURCES - TRANSFERS OUT	TFM5765180	TRANSFERS TO ST. LAWRENCE SEAWAY DEV. CORP.	0.00	32,259,352.00
			TFM5765190	TRANSFERS TO CUSTOMS	0.00	3,226,000.00
			TFM5765250	TRANSFERS TO CORPS OF ENGINEERS	0.00	791,414,000.00
	Sum				0.00	826,899,352.00
Sum				0.00	826,899,352.00	
TOTAL					-114,804,479.98	-772,308,860.05

Harbor Maintenance Trust Fund
96X8863
Budgetary Trial Balance (Unaudited)
October 1, 2010 Through September 30, 2011

Fund:TFM8863TFXXXX

USSGL	BEA Category	Year of BA	Cost Center	USSGL / Cost Center Description	FY Beginning Balance	FY Activity	FY Ending Balance	
1010	-	-	-	FUND BALANCE WITH TREASURY	90,038,963.42	14,730,016.54	104,768,979.96	
1610	-	-	-	INVESTMENTS IN U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	5,421,380,076.89	781,369,529.36	6,202,749,606.25	
1611	-	-	-	DISCOUNT ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	-38,166,018.87	6,313,882.48	-31,852,136.39	
					5,473,253,021.44	802,413,428.38	6,275,666,449.82	
4114	D	-	TFM5311001	INTEREST ON INVESTMENTS	0.00	160,204,108.74	160,204,108.74	
			TFM5800430	CUSTOM DUTIES ON IMPORTS	0.00	1,059,073,703.57	1,059,073,703.57	
			TFM5800440	CUSTOM DUTIES ON EXPORTS	0.00	-12,763.11	-12,763.11	
			TFM5800450	CUSTOM DUTIES ON DOMESTICS	0.00	122,337,680.86	122,337,680.86	
			TFM5800460	CUSTOM DUTIES ON PASSENGERS	0.00	13,207,734.64	13,207,734.64	
			TFM5800470	CUSTOM DUTIES ON FOREIGN TRADE	0.00	274,502,315.68	274,502,315.68	
	Sum BEA				0.00	1,629,312,780.38	1,629,312,780.38	
	Sum				0.00	1,629,312,780.38	1,629,312,780.38	
4127	D	-	TFM5765180	TRANSFERS TO ST. LAWRENCE SEAWAY DEV. CORP.	0.00	0.00	0.00	
			TFM5765190	TRANSFERS TO CUSTOMS	0.00	0.00	0.00	
	Sum BEA				0.00	0.00	0.00	
	Sum				0.00	0.00	0.00	
4129	D	-	TFM5765180	TRANSFERS TO ST. LAWRENCE SEAWAY DEV. CORP.	0.00	-32,259,352.00	-32,259,352.00	
			TFM5765190	TRANSFERS TO CUSTOMS	0.00	-3,226,000.00	-3,226,000.00	
	Sum BEA				0.00	-35,485,352.00	-35,485,352.00	
	Sum				0.00	-35,485,352.00	-35,485,352.00	
4166	D	-	TFM5765180	TRANSFERS TO ST. LAWRENCE SEAWAY DEV. CORP.	0.00	0.00	0.00	
			TFM5765190	TRANSFERS TO CUSTOMS	0.00	0.00	0.00	
			TFM5765250	TRANSFERS TO CORPS OF ENGINEERS	0.00	0.00	0.00	
	Sum BEA				0.00	0.00	0.00	
	Sum				0.00	0.00	0.00	
4167	D	-	TFM5765250	TRANSFERS TO CORPS OF ENGINEERS	0.00	-791,414,000.00	-791,414,000.00	
4201	-	-	-	TOTAL ACTUAL RESOURCES COLLECTED	5,473,253,021.44	0.00	5,473,253,021.44	
4384	D	-	TFMXXXXXXX	DEFAULT COST CENTER FOR TFM OPERATING UNIT	0.00	0.00	0.00	
4394	D	-	TFM5311001	INTEREST ON INVESTMENTS	0.00	-160,204,108.74	-160,204,108.74	
			TFM5765180	TRANSFERS TO ST. LAWRENCE SEAWAY DEV. CORP.	0.00	32,259,352.00	32,259,352.00	
			TFM5765190	TRANSFERS TO CUSTOMS	0.00	3,226,000.00	3,226,000.00	
			TFM5765250	TRANSFERS TO CORPS OF ENGINEERS	0.00	791,414,000.00	791,414,000.00	
			TFM5800430	CUSTOM DUTIES ON IMPORTS	0.00	-1,059,073,703.57	-1,059,073,703.57	
			TFM5800440	CUSTOM DUTIES ON EXPORTS	0.00	12,763.11	12,763.11	
			TFM5800450	CUSTOM DUTIES ON DOMESTICS	0.00	-122,337,680.86	-122,337,680.86	
			TFM5800460	CUSTOM DUTIES ON PASSENGERS	0.00	-13,207,734.64	-13,207,734.64	
			TFM5800470	CUSTOM DUTIES ON FOREIGN TRADE	0.00	-274,502,315.68	-274,502,315.68	
			TFMXXXXXXX	DEFAULT COST CENTER FOR TFM OPERATING UNIT		-5,473,253,021.44	0.00	-5,473,253,021.44
				Sum BEA				-5,473,253,021.44
	Sum				-5,473,253,021.44	-802,413,428.38	-6,275,666,449.82	
					0.00	0.00	0.00	

Harbor Maintenance Trust Fund
96X8863
FACTS II Trial Balance (Unaudited)
October 1, 2010 Through September 30, 2011

Fund:TFM8863TFXXXX

USSGL	BEA Category	Year of BA	FY Beginning Balance	FY Activity	FY Ending Balance
1010	-	-	90,038,963.42	14,730,016.54	104,768,979.96
1610	-	-	5,421,380,076.89	781,369,529.36	6,202,749,606.25
1611	-	-	-38,166,018.87	6,313,882.48	-31,852,136.39
			5,473,253,021.44	802,413,428.38	6,275,666,449.82
4114	D	-	0.00	1,629,312,780.38	1,629,312,780.38
4127	D	-	0.00	0.00	0.00
4129	D	-	0.00	-35,485,352.00	-35,485,352.00
4166	D	-	0.00	0.00	0.00
4167	D	-	0.00	-791,414,000.00	-791,414,000.00
4201	-	-	5,473,253,021.44	0.00	5,473,253,021.44
4384	D	-	0.00	0.00	0.00
4394	D	-	-5,473,253,021.44	-802,413,428.38	-6,275,666,449.82
			0.00	0.00	0.00

Harbor Maintenance Trust Fund
96X8863
Attest Trial Balance (Unaudited)
September 1, 2011 Through September 30, 2011

Period Name:SEP/11-11		Fund:TFM8863TFXXXX		Project:<All>							
USSGL	Cost Center	USSGL / Cost Center Description	Beginning Balance	Period Net Dr	Period Net Cr	Ending Balance		Period Net Dr	Period Net Cr	Ending Balance	
1010	-	FUND BALANCE WITH TREASURY	154,647,715.91	12,640,981,158.41	12,690,859,894.36	104,768,979.96				104,768,979.96	
1342	-	INTEREST RECEIVABLE - INVESTMENTS	19,396,648.54	11,601,426.70	3,265.55	30,994,809.69				30,994,809.69	
1610	-	INVESTMENTS IN U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	6,118,729,821.10	12,620,149,375.70	12,536,129,590.55	6,202,749,606.25				6,202,749,606.25	
1611	-	DISCOUNT ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	-31,964,128.93	191,314.89	79,322.35	-31,852,136.39				-31,852,136.39	
1612	-	PREMIUM ON U.S. TREASURY SECURITIES ISSUED BY THE BUREAU OF THE PUBLIC DEBT	150,597,248.62	0.00	0.00	150,597,248.62				150,597,248.62	
1613	-	AMORTIZATION OF DISCOUNT AND PREMIUM ON U.S. TREASURY SECURITIES ISSUED BY THE	-35,750,180.23	514,627.25	2,271,868.82	-37,507,421.80				-37,507,421.80	
2150	TFM5765180	TRANSFERS TO ST. LAWRENCE SEAWAY DEV.	-4,759,352.00	4,759,352.00	0.00	0.00	2	0.00		0.00	
	TFM5765190	TRANSFERS TO CUSTOMS	0.00	0.00	0.00	0.00		0.00		0.00	
	TFM5765250	TRANSFERS TO CORPS OF ENGINEERS	-65,951,166.66	65,951,166.66	0.00	0.00	1	0.00		0.00	
Sum			-70,710,518.66	70,710,518.66	0.00	0.00		0.00		0.00	
3310	-	CUMULATIVE RESULTS OF OPERATIONS	-5,647,442,226.28	0.00	0.00	-5,647,442,226.28	3	0.00		-5,647,442,226.28	
5311	TFM5311001	INTEREST ON INVESTMENTS	-120,064,040.39	2,080,553.93	12,116,053.95	-130,099,540.41	3	0.00		-130,099,540.41	
5765	TFM5765180	TRANSFERS TO ST. LAWRENCE SEAWAY DEV.	32,259,352.00	4,759,352.00	4,759,352.00	32,259,352.00	2	0.00		32,259,352.00	
	TFM5765190	TRANSFERS TO CUSTOMS	3,226,000.00	0.00	0.00	3,226,000.00		0.00		3,226,000.00	
	TFM5765250	TRANSFERS TO CORPS OF ENGINEERS	791,414,000.00	65,951,166.66	65,951,166.66	791,414,000.00	1	0.00		791,414,000.00	
Sum			826,899,352.00	70,710,518.66	70,710,518.66	826,899,352.00	0.00	0.00	0.00	826,899,352.00	
5800	TFM5800430	CUSTOM DUTIES ON IMPORTS	-960,898,477.09	0.00	98,175,226.48	-1,059,073,703.57				-1,059,073,703.57	
	TFM5800440	CUSTOM DUTIES ON EXPORTS	12,763.11	0.00	0.00	12,763.11				12,763.11	
	TFM5800450	CUSTOM DUTIES ON DOMESTICS	-119,172,549.59	0.00	3,165,131.27	-122,337,680.86				-122,337,680.86	
	TFM5800460	CUSTOM DUTIES ON PASSENGERS	-13,207,734.64	0.00	0.00	-13,207,734.64				-13,207,734.64	
	TFM5800470	CUSTOM DUTIES ON FOREIGN TRADE	-271,073,693.47	0.00	3,428,622.21	-274,502,315.68				-274,502,315.68	
Sum			-1,364,339,691.68	0.00	104,768,979.96	-1,469,108,671.64	0.00	0.00	0.00	-1,469,108,671.64	
TOTAL			0.00	25,416,939,494.20	25,416,939,494.20	0.00	0.00	0.00	0.00	0.00	

Footnotes for Adjusting Entries

1 To reverse the current payable of \$0.00 to convert the "Transfer to Corps of Engineers" account into a cash basis figure.

2 To reverse the current payable of \$0.00 to convert the "Transfer to SLSDC" account into a cash basis figure.

3 To reclassify the current payable as Program Agency Equity.

Harbor Maintenance Trust Fund
96X8863
Schedule of Assets Liabilities (Unaudited)
September 30, 2011

ASSETS

Fund Balance with Treasury	\$	104,768,979.96
Interest Receivable		30,994,809.69
Other Receivable		0.00
Investments (Net)		<u>6,283,987,296.68</u>
<i>TOTAL ASSETS</i>	\$	<u><u>6,419,751,086.33</u></u>

LIABILITIES

Program Agency Equity:		
Available	\$	0.00
Other		<u>6,419,751,086.33</u>
<i>TOTAL LIABILITIES</i>	\$	<u><u>6,419,751,086.33</u></u>

Harbor Maintenance Trust Fund
96X8863
Schedule of Activity (Unaudited)
For the Period October 1, 2010 Through September 30, 2011

REVENUES

Interest Revenue	\$	130,099,540.41
Tax Revenue		<u>1,469,108,671.64</u>
Total Revenues	\$	<u>1,599,208,212.05</u>

DISPOSITION OF REVENUES

Transfers to Program Agencies	\$	<u>826,899,352.00</u>
Total Disposition of Revenues	\$	<u>826,899,352.00</u>

<i>NET INCREASE / (DECREASE) IN PROGRAM AGENCY EQUITY</i>	\$	<u><u>772,308,860.05</u></u>
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